

Some combinatorial properties of the fundamental polytope

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Introduction

Distance function and metric

Let X be a set and d be a map from $X \times X$ to \mathbb{R} .

We call d a **distance function** on X provided

- ▶ $d(x, x) = 0$ and
- ▶ $d(x, y) + d(y, z) \geq d(x, z) \geq 0$

hold for all $x, y, z \in X$.

We call d a **metric** on X provided

- ▶ $d(x, x) = 0$ and
- ▶ $d(x, y) + d(z, y) \geq d(x, z)$

hold for all $x, y, z \in X$.

Note that a metric is just a symmetric distance function. We call (X, d) a **metric space** if d is a metric and call (X, d) a **directed metric space** if d is a distance function.

KR-polytope and L-polytope of a distance function

For each $a \in X$, let δ_a be the function on X which takes value 1 at a and value 0 elsewhere. For any $a, b \in X$, let $L_{a,b}$ denote the closure of $\{\frac{\delta_a - \delta_b}{t} : t > d(a, b)\}$ in \mathbb{R}^X .

Let $V_0(X) = \{f \in \mathbb{R}^X : \sum_{x \in X} f(x) = 0\}$ (**Hyperplane**).

KR-polytope: $P_d = \text{conv}(\cup_{a,b \in X} L_{a,b}) \subseteq V_0(X)$.

Its polar:

$$\begin{aligned} P_d^\Delta &= \{f \in \mathbb{R}^X : \langle f, g \rangle \leq 1, \forall g \in P_d\} \\ &= \{f \in \mathbb{R}^X : f(a) - f(b) \leq d(a, b), \forall a, b \in X\} \end{aligned}$$

L-polytope: $Q_d = P_d^\Delta \cap V_0(X)$.

$|X| = 4$, $d(x, y) = 1$ for all $x \neq y \in X$.

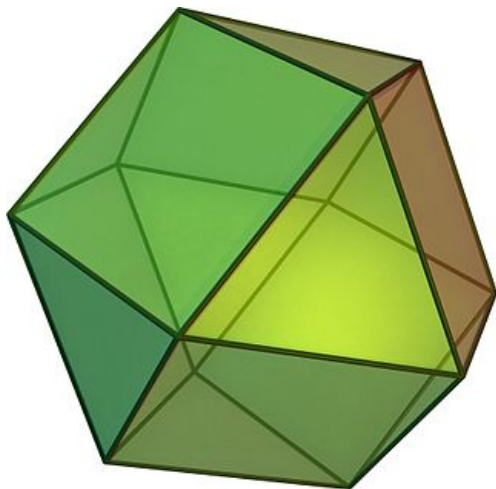


Figure: KR-polytope P_d . f -vector $= (f_0, f_1, f_2) = (12, 24, 14)$.

$|X| = 4$, $d(x, y) = 1$ for all $x \neq y \in X$.

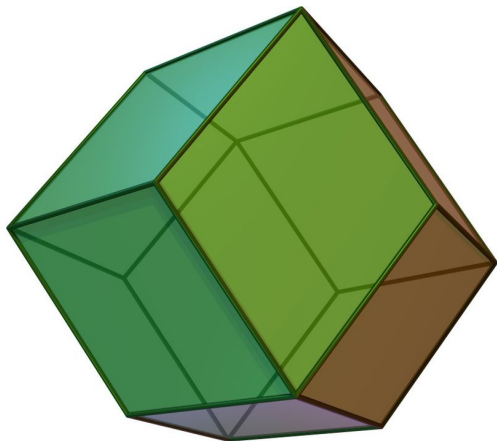


Figure: L-polytope Q_d . f -vector = $(f_0, f_1, f_2) = (14, 24, 12)$.

$X = \{a, b, c, d\}$, $d(a, b) = d(c, d) = 1$,
 $d(a, c) = d(a, d) = d(b, c) = d(b, d) = 2$.

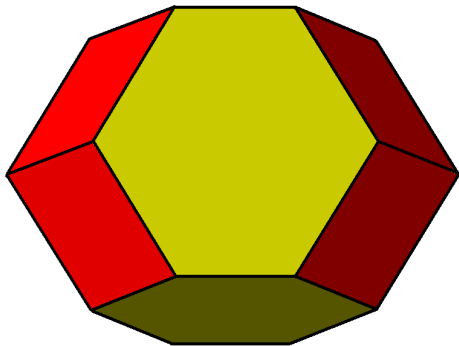


Figure: L-polytope Q_d .

$$d(a, b) = d(c, d) = 2, \quad d(a, c) = d(b, d) = 3, \quad d(a, d) = d(b, c) = 4.$$

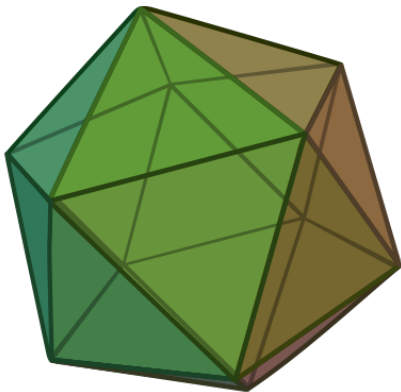


Figure: The KR-polytope P_d and the icosahedron have the same face lattice.

Asymmetric norm

Asymmetric Lipschitz norm on $V_0(X)$: Given $f \in V_0(X)$,

$$\|f\|_L = \min\{k : f(a) - f(b) \leq k d(a, b), \forall a, b \in X\}.$$

The L-polytope Q_d is the unit ball of the Lipschitz norm on $V_0(X)$ associated with the distance function d .

If (X, d) is a metric space, then the KR-polytope P_d is the unit ball of the **(asymmetric) Kantorovic-Rubinstein norm** on $V_0(X)$ associated with the metric d .

Vershik calls P_d the **fundamental polytope** of the metric d and he suggests to study the convex geometry of the fundamental polytope.



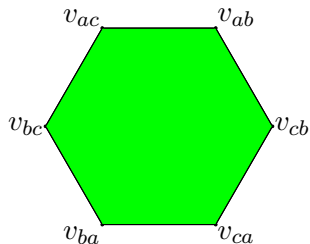
Problems of Vershik

- ▶ Characterize the face lattice of the KR-polytope, say calculating its f -vector.
- ▶ Provide sufficient conditions for two metrics to be equivalent, meaning that the face lattices of their KR-polytopes are isomorphic.
- ▶ Classify all the n -point metrics up to equivalence.

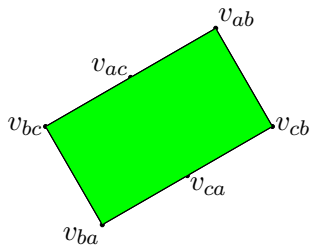
General metric

3-point metric (KR-polytope P_d)

$$d(a, b) = d(b, c) = d(a, c) = 1.$$



$$d(a, b) = d(b, c) = 1, d(a, c) = 2.$$



Theorem (Melleray, Petrovy, Vershik)

Let X be an n -element set and d a symmetric function from $X \times X$ to $\mathbb{R}_{\geq 0}$ with $d^{-1}(0) = \{(x, x) : x \in X\}$. Then, (X, d) is a metric space if and only if v_{ab} ($= \frac{\delta_a - \delta_b}{d(a,b)}$) lies in the $(n - 2)$ -skeleton of P_d for every $\{a, b\} \in \binom{X}{2}$.

Theorem

Let X be an n -element set and d a function from $X \times X$ to $\mathbb{R}_{\geq 0}$ with $d^{-1}(0) = \{(x, x) : x \in X\}$. Then, (X, d) is a directed metric space if and only if v_{ab} lies in the $(n - 3)$ -skeleton of P_d for every $\{a, b\} \in \binom{X}{2}$ or when (X, d) can be isometrically embedded into the real line \mathbb{R} .

Alternating inequality

Let (X, d) be a metric. For a sequence θ of distinct elements x_1, x_2, \dots, x_k in X , the **alternating inequality for θ** tells us the sign of

$$d(x_1, x_k) - \sum_{i=1}^{k-1} (-1)^i d(x_i, x_{i+1})$$

and

$$d(x_1, x_k) - \sum_{i=1}^{k-1} (-1)^{i-1} d(x_i, x_{i+1}).$$

Example (Not all alternating relations are possible)

For sequence a, b, c in X ,

$$d(a, c) + d(b, c) \geq d(a, b) \quad \Rightarrow \quad d(a, c) \geq d(a, b) - d(b, c),$$

$$d(a, c) + d(a, b) \geq d(b, c) \quad \Rightarrow \quad d(a, c) \geq -d(a, b) + d(b, c).$$

A sufficient condition

Theorem

If two metrics d_1 and d_2 on $|X|$ are not equivalent, then there exists a sequence θ of length at most $|X|$ such that d_1 and d_2 have different alternating relations for θ .

Tight span

Given a finite metric space (X, d) , we construct the unbounded polyhedron

$$P(X, d) = \{f \in \mathbb{R}^X : f(a) + f(b) \geq d(a, b), \forall a, b \in X\}.$$

Tight span is the union of bounded faces of $P(X, d)$:

$$\mathbf{T}(X, d) = \{f \in \mathbb{R}^X : f(a) = \sup_{b \in X} \{d(a, b) - f(b)\}, \forall a \in X\}.$$

Isbell (1964): $\mathbf{T}(X, d)$ is a smallest *injective* metric space containing an *isometric copy* of X .

Dress (1984): When (X, d) is a tree metric, $\mathbf{T}(X, d)$ is a tree which encodes the metric (X, d) .

Projecting tight span into L-polytope

$$\begin{array}{ccc} X & \xrightarrow{x \mapsto k_x} & \mathbf{T}(X, d) \\ & & \downarrow \pi \\ & & Q_d \end{array}$$

$$k_x : y \mapsto d(x, y)$$

$$\pi : f \mapsto f - \frac{\sum_{x \in X} f(x)}{n} \mathbf{1} \in V_0(X).$$

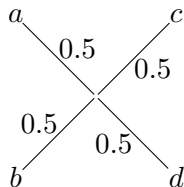
Theorem

$\pi(\mathbf{T}(X, d)) \subseteq Q_d$, and $\pi(k_x)$ is vertex of Q_d if k_x is a dimension zero face of $\mathbf{T}(X, d)$ for each $x \in X$.

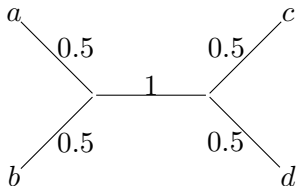
Tree metric

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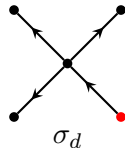
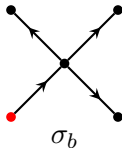
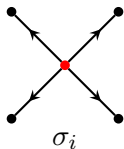
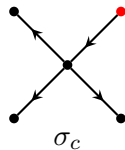
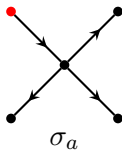
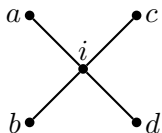
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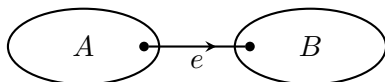
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A tree on n vertices and its n single-source orientations



From an oriented X -tree to a point in $V_0(X)$



Let T be a tree with leaf set X where each edge e is assigned a weight $\omega(e)$. Fix an orientation σ of T . For each edge e of T , define a vector $f_e \in V_0(X)$ such that

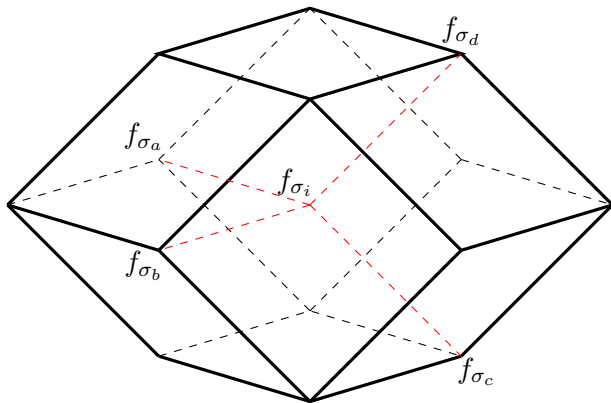
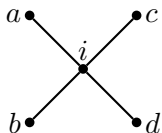
$$f_e(v) = \begin{cases} \frac{\omega(e)|B|}{|X|}, & \text{if } v \in A, \\ -\frac{\omega(e)|A|}{|X|}, & \text{if } v \in B, \end{cases}$$

where A is the set of leaves at the head side of e and B is the set of leaves at the tail side of e .

We associate with the weighted oriented tree (T, σ, ω) the vector

$$f_{T, \sigma, \omega} = \sum_{e \in E(T)} f_e \in V_0(X).$$

Embedding a tree into its L-polytope



Theorem

Let T be a weighted tree and let (X, d_T) be the induced tree metric. For all the 1-source orientations of T we construct a graph $\tilde{T} = (V, E)$ such that

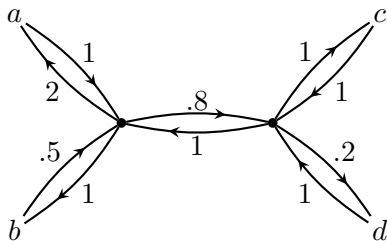
- ▶ $V = \{f_{T,\sigma} : \sigma \text{ is a 1-source orientation of } T\}$ and
- ▶ $E = \{(f_{T,\sigma_1}, f_{T,\sigma_2}) : \sigma_1 \text{ and } \sigma_2 \text{ differ at exactly one edge}\}.$

Then

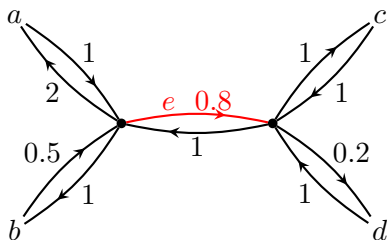
$$\tilde{T} = \pi(\mathbf{T}(X, d_T)).$$

Directed tree metric

We replace every edge of a tree by two arcs in reverse directions and assign positive weights to them which are not necessarily equal. Directed metrics induced by these kinds of directed trees are called **directed tree metrics**.



Minkowski decomposition



$$d_e(x, y) = \begin{cases} 0.8, & \text{if } e \in x \rightarrow y; \\ 0, & \text{otherwise.} \end{cases}$$

Metric decomposition: $d_T = \sum_{e \in E(T)} d_e$.

Theorem

If (X, d_T) is a directed tree metric space, then

$$Q_{d_T} = \sum_{e \in E(T)} Q_{d_e},$$

where $E(T)$ refers to the set of all those arcs of T .

Directed tree metric \Leftrightarrow L-polytope is zonotope

Theorem

Let (X, d) be a directed metric space. Then Q_d is a zonotope if and only if d is a directed tree metric.

f -vector of L-polytope

Let d_T be a directed tree metric displayed by the leaves of weighted directed tree T .

- ▶ $f_0(Q_{d_T}) = 2 \prod_v (2^{\deg(v)} - 1)$, where v runs through all interior vertices of T .

Theorem

If T is a weighted directed binary tree, then the f -vector of Q_{d_T} is determined by

$$f_i = \sum_{k=1}^{\min\{i+1, n-i-1\}} 2^k 3^{n-i-k-1} \binom{n}{n+k-i-1} \binom{n-i-2}{k-1},$$

where $n = |X|$ and i ranges from 0 to $n - 2$.

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where $n = |X|$ and i ranges from 0 to $n - 2$.

Theorem

Let T and T' be two weighted directed trees. Then d_T and $d_{T'}$ are equivalent if and only if T and T' are isomorphic as (unweighted) graphs.

Corollary

A weighted tree T is determined by the 1-skeleton of Q_{d_T} .

1-skeleton graph of Q_{d_T}

Proper orientation: An orientation of edges of T such that only leaves could be source/sink vertices.

Theorem

The vertex/edge sets of the 1-skeleton graph of Q_{d_T} are as follows:

- ▶ $V = \{f_{T,\sigma} : \sigma \text{ is a proper orientation of } T\}$,
- ▶ $E = \{(f_{T,\sigma_1}, f_{T,\sigma_2}) : \sigma_1 \text{ and } \sigma_2 \text{ differ at exactly one edge}\}$.



Figure: Two adjacent proper orientations.

The orientation graph

For any graph G , an orientation of its edge set is **proper** if all the source/sink vertices have degree at most 1.

Claim

Every graph admits a proper edge orientation.

We construct the orientation graph $O(G) = (V, E)$ by setting

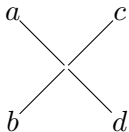
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- ▶ $E = \{(\sigma_1, \sigma_2) : \sigma_1 \text{ and } \sigma_2 \text{ differs at exactly one edge}\}$.

Our questions on $O(G)$:

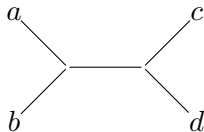
- ▶ Size?
- ▶ If the graph G has no degree-two vertices, is $O(G)$ connected? What about its vertex connectivity?
- ▶ Minimal degree?
- ▶ When can we reconstruct G from $O(G)$?

Four-point metric space

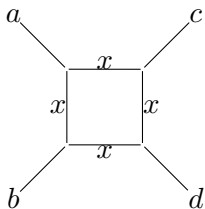
4-point metrics ($d(x, y) + d(y, z) > d(x, z)$)



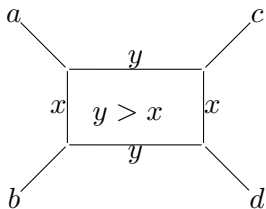
$$ad + bc = ac + bd = ab + cd$$



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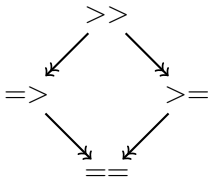
$$ad + bc > ac + bd > ab + cd$$

(ab is the shorthand of $d(a, b)$.)

For sequence a, b, c, d in X ,

$$d(a, d) + d(b, c) > d(a, b) + d(c, d) \Rightarrow d(a, d) > d(a, b) - d(b, c) + d(c, d).$$

Partial order on the four metric classes:



Observation: $d_1 \twoheadrightarrow d_2$ if and only if P_{d_1} is a refinement of P_{d_2} .
(Refinement: For every facet F_1 of P_{d_1} , there exists a facet F_2 of P_{d_2} such that $\text{Vert}(F_1) \subseteq \text{Vert}(F_2)$.)

Can this phenomenon be generalized to metric spaces of bigger size?

Theorem

There are in total 13 nonequivalent proper metrics on a set of size four.

Further research

- ▶ Relations with directed tight span (Hirai, Koichi)
- ▶ Relation with enriched category theory approach to metric spaces (Leinster, Willerton)
- ▶ Split decomposition of metrics w.r.t L-polytopes vs. that w.r.t tight span (Bandelt, Dress)
- ▶ Characterize the polytopes of some nice metric spaces (Hamming scheme, Johnson scheme)
- ▶ Various extremal problems about f -vectors

Thank You!